



From Montague Semantics to MTT-Semantics: A Meaningful Comparison

Stergios Chatzikyriakidis and Zhaohui Luo
(ESSLI 2019: Lecture 2 by ZL)



MTT-semantics: some key features

- ❖ MTT-semantic features in comparison with Montague
 - ❖ Overview, some detailed further in later lectures
 - ❖ Only focussing on several, others omitted
- ❖ Features of MTT-semantics (& differences with MG)
 - ❖ Rich type structure
 - ❖ Powerful tools for semantic constructions
 - ❖ Both model-theoretic and proof-theoretic
 - ❖ Others (if time permits: eg, judgemental interpretation and identity criteria)

I. Rich type structures & CNs-as-types

- ❖ In MTTs, many types with rich structures
 - ❖ Dependent types (Π -types, Σ -types, ...)
 - ❖ Inductive types (Nat, Fin(n), ...)
 - ❖ Universes – types of types (logical, linguistic, ...)
- In contrast, simple type theory has only e, t, $\alpha \rightarrow \beta$.
- ❖ In linguistic semantics, this allows CNs-as-types.
 - ❖ For example, adjectival modification (see SC's lecture)

Classification	Inference	Example	MTT-types/mechanisms
Intersective	$\text{Adj}[\text{N}] \Rightarrow \text{N} \ \& \ \text{Adj}$	handsome man	Σ -types
Subjective	$\text{Adj}[\text{N}] \Rightarrow \text{N}$	large mouse	Π -polymorphism
Privative	$\text{Adj}[\text{N}] \Rightarrow \neg \text{N}$	fake gun	disjoint union types
Non-committal	$\text{Adj}[\text{N}] \Rightarrow ?$	alleged criminal	modal collection

❖ Several advantages of CNs-as-types (not predicates)

- ❖ Selection restriction by typing
 - ❖ Capturing category errors
 - ❖ MG cannot do this due to CNs-as-predicates.
- ❖ Compatibility with subtyping
 - ❖ Subtypes or “subsorts” (cf, Asher, Partee): Phy, Event, Containers, ...
 - ❖ MG has been problematic in this because of CNs-as-predicates.
- ❖ Proper treatment of copredication
 - ❖ Dot-types in MTTs (see SC’s latter lecture)
 - ❖ Problematic if CNs-as-predicates

I.1. Selection Restriction

- ❖ (*) The table talks.
 - ❖ Is (*) meaningful? (a category error)
- ❖ In MG, yes: (*) has a truth value
 - ❖ talk(the table) is false in the intended model.
- ❖ In MTT-semantics, no: (*) is not meaningful.
 - ❖ “the table” is of type Table, not of type Human and, hence, talk(the table) is ill-typed as talk requires that its argument be of type Human.
 - ❖ In MTT-semantics, meaningfulness = well-typedness

I.2. Compatibility with subtyping

- ❖ Researchers considered various subtypes/subsorts:
 - ❖ $\text{Phy, Info} \leq e$ (Asher 2011 on copredication)
 - ❖ $\text{Basket} \leq \text{Container, ...}$ (Partee-Borschev 2014, on adjectival modification)
 - ❖ Events ... (c.f., ZL's lecture on Friday)
- ❖ Such are incompatible with CNs-as-predicates, although they are OK with CNs-as-types.
 - ❖ Let's consider an example – copredication.
 - ❖ I'm only using this as an example – see SC's latter Lecture 4.

Copredication

❖ Copredication (Asher, Pustejovsky, ...)

- ❖ John picked up and mastered the book.
- ❖ The lunch was delicious but took forever.
- ❖ The newspaper you are reading is being sued by Mia.
- ❖

❖ How to deal with this in formal semantics

- ❖ Dot-objects (eg, Asher 2011, in the Montagovian setting)
- ❖ It has a problem: subtyping and CNS-as-predicates strategy do not fit with reach other ...

Subtyping problem in the Montagovian setting

❖ Problematic example (in Montague with CNs-as-pred)

- ❖ $\text{heavy} : \text{Phy} \rightarrow t$ and $\text{book} : \text{Phy} \bullet \text{Info} \rightarrow t$
- ❖ $\text{heavy book} = \lambda x:\text{Phy}. \text{book}(x) \wedge \text{heavy}(x)$?
- ❖ In order for this, we'd need $\text{Phy} \leq \text{Phy} \bullet \text{Info}$ (#)
But, intuitively, this is not the case (the opposite should be)!
- ❖ A higher type of $\text{heavy} : (\text{Phy} \rightarrow t) \rightarrow (\text{Phy} \rightarrow t)$ would not help.

❖ In MTT-semantics, because CNs are interpreted as types, things work as intended.

- ❖ $\text{heavy} : \text{Phy} \rightarrow \text{Prop}$ and $\text{Book} \leq \text{Phy} \bullet \text{Info} \leq \text{Phy}$
- ❖ So, $\text{heavy}(b) : \text{Prop}$ is well-typed, for $b : \text{Book}$.

❖ In MTT-semantics, CNs are types – we have:

“John picked up and mastered the book.”

[book] \leq PHY • INFO

[pick up] : Human \rightarrow PHY \rightarrow Prop
 \leq Human \rightarrow PHY•INFO \rightarrow Prop
 \leq Human \rightarrow [book] \rightarrow Prop

[master] : Human \rightarrow INFO \rightarrow Prop
 \leq Human \rightarrow PHY•INFO \rightarrow Prop
 \leq Human \rightarrow [book] \rightarrow Prop

Hence, both have the same type (in LType – cf, SC’s Lect 1.2) and therefore can be coordinated by “and” to form “picked up and mastered” in the above sentence.

Remark: CNs as types in MTT-semantics – so things work.

II. MTT-tools for semantic constructions

- ❖ Rich typing → powerful tools
- ❖ Examples:
 - ❖ Π -polymorphism via universes
 - ❖ Overloading by coercive subtyping
 - ❖ Π/Σ -organisation (omitted here)
 - ❖

II.1. Sense selection via overloading

- ❖ Sense enumeration (cf, Pustejovsky 1995 and others)
 - ❖ Homonymy
 - ❖ Automated selection
 - ❖ Existing treatments (eg, Asher et al via disjoint union types)

❖ For example,

1. John runs quickly.
2. John runs a bank.

with homonymous meanings

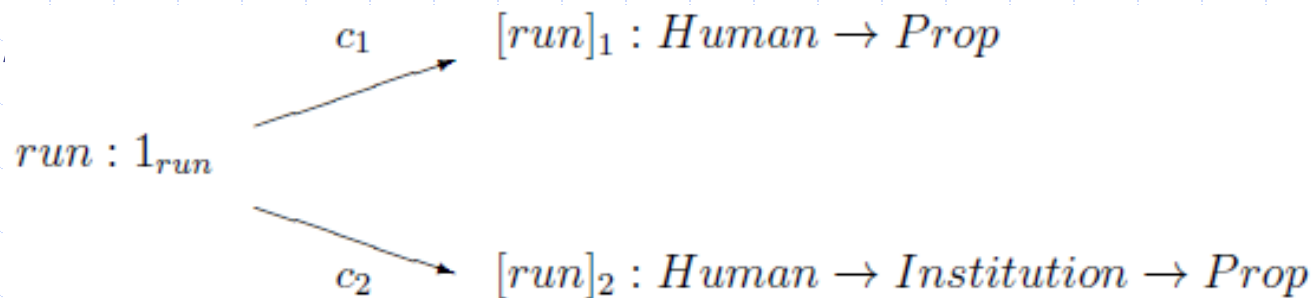
1. $[\text{run}]_1 : \text{Human} \rightarrow \text{Prop}$
2. $[\text{run}]_2 : \text{Human} \rightarrow \text{Institution} \rightarrow \text{Prop}$

“run” is overloaded – how to disambiguate?

Overloading via coercive subtyping

- ❖ Overloading can be represented by coercions

Eg



- ❖ Now, “John runs quickly” = “John $[run]_1$ quickly”.
“John runs a bank” = “John $[run]_2$ a bank”.
- ❖ Homonymous meanings can be represented so that automated selection can be done according to typing.
- ❖ Remark: This could not be done if CNs-as-predicates.

II.2. Π -polymorphism – an example mechanism

- ❖ Π -polymorphism offers several applications.
 - ❖ They are not available in MG where CNs are interpreted as predicates.

- ❖ Π -types: Informally (borrowing set-theoretical notations):

$$\Pi x:A.B[x] = \{ f \mid \text{for any } a : A, f(a) : B[a] \}$$

These f 's are dependent functions.

- ❖ Example

- ❖ $\Pi x:\text{Human}.\text{Child}(x)$, type of functions mapping h to $\text{Child}(h)$, the type of children of h (may be an empty type).

- ❖ Notational conventions:

- ❖ $A \rightarrow B$ stands for $\Pi x:A.B(x)$ when $x \notin \text{FV}(B)$.
- ❖ In other words, $A \rightarrow B$ are just special cases of Π -types.
- ❖ So, a type theory with Π -types and Prop contains simple type theory.

Π -polymorphism – a first informal look

- ❖ How to model predicate-modifying adverbs (eg, quickly)?
 - ❖ Informally, it can take a verb and return a verb.
- ❖ Montague: $\text{quickly} : (e \rightarrow t) \rightarrow (e \rightarrow t)$
 $\text{quickly}(\text{run}) : e \rightarrow t$
- ❖ MTT-semantics: $\text{quickly} : (A_q \rightarrow \text{Prop}) \rightarrow (A_q \rightarrow \text{Prop})$,
where A_q is the domain/type for quickly.
 - ❖ What about other verbs? (eg, $A_{\text{talk}} = \text{Human}, \dots$)
 - ❖ Can we do it generically – one type of all adverbs?
- ❖ Π -polymorphism: $\text{quickly} : \Pi A:\text{CN}. (A \rightarrow \text{Prop}) \rightarrow (A \rightarrow \text{Prop})$
- ❖ Question: What is CN?
Answer: CN is a universe of types – next slide.

Universes – types of types

❖ Universe of types

- ❖ Martin-Löf introduced the notion of universe (1973, 1984)
- ❖ A universe is a type of types (Note: the collection Type of all types is not a type itself – otherwise, logical paradox.)

❖ Examples

- ❖ Math: needing a universe to define type-valued functions
 - ❖ $f(n) = N \times \dots \times N$ (n times)
- ❖ MTT-semantics: for example,
 - ❖ CN is the universe of types that are (interpretations of) CNs. We have:
Human : CN, Book : CN, $\Sigma(\text{Man}, \text{handsome}) : \text{CN}$,
 - ❖ We can then have: quickly : $\prod A:\text{CN}. (A \rightarrow \text{Prop}) \rightarrow (A \rightarrow \text{Prop})$

Modelling subsective adjectives

- ❖ Examples: large, skilful, ...
- ❖ Nature of such adjectives
 - ❖ Their meanings are dependent on the nouns they modify.
 - ❖ “a large mouse” is not a large animal (“large” in “a large mouse” is only large for mice, not for other animals/entities.)
- ❖ This leads to proposal of using Π -polymorphism:
 - ❖ $\text{large} : \prod A:\text{CN}. (A \rightarrow \text{Prop})$
 - ❖ CN – type universe of all (interpretations of) CNs
 - ❖ $\text{large}(\text{Mouse}) : \text{Mouse} \rightarrow \text{Prop}$
 - ❖ $[\text{large mouse}] = \sum x:\text{Mouse}. \text{large}(\text{Mouse})(x)$

Another example – type of quantifiers

- ❖ Generalised quantifiers

- ❖ Examples: some, three, a/an, all, ...
- ❖ In sentences like: “Some students work hard.”

- ❖ With Π -polymorphism, the type of binary quantifiers is (Lungu 2014):

$\Pi A:CN. (A \rightarrow \text{Prop}) \rightarrow \text{Prop}$

For Q of the above type, $N : CN$ and $V : N \rightarrow \text{Prop}$

$Q(N, V) : \text{Prop}$

E.g., $\text{Some}(\text{Student}, \text{work_hard}) : \text{Prop}$

CNs-as-predicates in MTTs?

- ❖ What if using an MTT but CNs-as-predicates?
 - ❖ In an MTT, one could still formally follow Montague:
 - ❖ use a single type e of all entities, and
 - ❖ use predicates of type $e \rightarrow t$ to interpret CNs.
 - ❖ First, this seems unnecessary, at least.
 - ❖ Why doesn't one just use simple type theory STT?
 - ❖ STT is a simpler "subsystem" – why much bigger system?
- ❖ Secondly, most (if not all) of the advantages would be lost ...

III. MTT-sem is both model/proof-theoretic

- ❖ Model-theoretic semantics (traditional)

- ❖ Meaning as denotation (Tarski, ...)
- ❖ Montague: NL \rightarrow (simple TT) \rightarrow set theory

- ❖ Proof-theoretic semantics

- ❖ Meaning as inferential use (proof/consequence)
- ❖ Gentzen, Prawitz, ..., Martin-Löf
- ❖ e.g., Martin-Löf's meaning theory

- ❖ MTT-semantics

- ❖ Both model-theoretic and proof-theoretic – in what sense?
- ❖ What does this imply?



*Formal semantics in Modern Type Theories (MTT-semantics)
is both model-theoretic and proof-theoretic.*

- ❖ NL → MTT (representational, model-theoretic)
 - ❖ MTT as meaning-carrying language with its types representing collections (or “sets”) and signatures representing situations
- ❖ MTT → meaning theory (inferential roles, proof-theoretic)
 - ❖ MTT-judgements, which are semantic representations, can be understood proof-theoretically by means of their inferential roles
- ❖ Z. Luo. Formal Semantics in Modern Type Theories: Is It Model-theoretic, Proof-theoretic, or Both? Invited talk at LACL14.

MTT-semantics being model-theoretic

- ❖ MTTs offer powerful representations.
- ❖ Rich type structure
 - ❖ Collections represented by types
 - ❖ Eg, CNs and their adjective modifications (see earlier slides)
 - ❖ Wide coverage – a major advantage of model-theoretic sem
- ❖ Useful contextual mechanisms – signatures
 - ❖ Various phenomena in linguistic semantics (eg, coercion & infinity)
 - ❖ Situations (incomplete world) represented by signatures (next slide)

MTT-semantics being model-theoretic (cont^{ed})

- ❖ Signatures Σ as in (cf, Edin LF [Harper et al 1987])

$$\Gamma \vdash_{\Sigma} a : A$$

with $\Sigma = c_1:A_1, \dots, c_n:A_n$

- ❖ New forms besides $c:A$ [Luo LACL14]

$\dots, c:A, \dots, A \leq_c B, \dots, c \sim a : A, \dots$

- ❖ Subtyping entries (cf, Lungu's PhD thesis 2018)
- ❖ Manifest entries (can be emulated by coercive subtyping)

- ❖ *Theorem (conservativity)*

The extension with new signature entries preserves the meta-theoretic properties for coherent signatures.

MTT-semantics being proof-theoretic

- ❖ MTTs are representational with proof-theoretic sem
 - ❖ Not available before – cf, use theory of meaning
- ❖ MTT-based proof technology
 - ❖ Reasoning based on MTT-semantics can be carried out in proof assistants like Coq:
 - ❖ pretty straightforward but nice application of proof technology to NL reasoning (not-so-straightforward in the past ...)
 - ❖ Some Coq codes can be found in: (example next slide)
 - ❖ Z. Luo. Contextual analysis of word meanings in type-theoretical semantics. Logical Aspects in Computational Linguistics. 2011.
 - ❖ S. Chatzikyriakidis & Z. Luo. NL Inference in Coq. JoLLI 23(4). 2014.
 - ❖ S. Chatzikyriakidis & Z. Luo. Proof assistants for NL semantics. LACL 2016.
 - ❖ T. Xue et al. Propositional Forms of Judgemental Interpretations. NLCS 2018.

Coq code (homonymy by overloading)

```
Definition CN := Set.
Parameters Bank Institution Human Man : CN.
Parameter John : Man.
Axiom mh : Man->Human.  Coercion mh : Man >-> Human.
Axiom bi : Bank->Institution.  Coercion bi : Bank >-> Institution.

(* unit type for "run" *)
Inductive Onerun : Set := run.
Definition T1 := Human->Prop.
Definition T2 := Human->Institution->Prop.
Parameter run1 : T1.
Parameter run2 : T2.
Definition r1 (r:Onerun) : T1 := run1.  Coercion r1 : Onerun >-> T1.
Definition r2 (r:Onerun) : T2 := run2.  Coercion r2 : Onerun >-> T2.

(* John runs quickly *)
Parameter quickly : forall (A:CN), (A->Prop)->(A->Prop).
Definition john_runs_quickly := quickly (run:T1) John.
(* John runs a bank *)
Definition john_runs_a_bank := exists b:Bank, (run:T2) John b.
```


❖ Why important?

- ❖ Model-theoretic – powerful semantic tools
 - ❖ Much richer typing mechanisms for formal semantics
 - ❖ Powerful contextual mechanism to model situations
- ❖ Proof-theoretic – practical reasoning on computers
 - ❖ Existing technology: proof assistants (Coq, Agda, Lego/Plastic, NuPRL)
 - ❖ Applications to NL reasoning
- ❖ Leading to both of
 - ❖ Wide-range modelling as in model-theoretic semantics
 - ❖ Effective inference based on proof-theoretic semantics

Remark: MTT-semantics offers a new perspective – new possibility not available before!

IV. Several Further Features of MTTs

❖ Other features/topics:

- ❖ Judgemental interpretations (Xue, Luo & Chatzikyriakidis 18)
- ❖ Identity criteria of CNs (Luo 2012, Chatzikyriakidis & Luo 2018 – see SC's latter lecture)
- ❖ Proof irrelevance (Luo 2019)

❖ First, introducing the last one (and, the others if time permits)

Proof Irrelevance

❖ Example to show:

- ❖ Potential problem introduced by proof terms in MTTs (and how to solve it by proof irrelevance)
- ❖ From another angle, MTTs are very powerful for semantics.

❖ Proof irrelevance

- ❖ Any two proofs of the same proposition are the same.
- ❖ To have adequate MTT-semantics, proof irrelevance needs to be enforced in the underlying type theory.
- ❖ Eg, in impredicative TTs likeUTT, we can have

$$\frac{\Gamma \vdash P : Prop \quad \Gamma \vdash p : P \quad \Gamma \vdash q : P}{\Gamma \vdash p = q : P}$$

Examples in NL semantics

- ❖ Identity criteria for CNs [Luo 12, Chatzikyriakidis & Luo 18]
 - ❖ A handsome man is a pair (m,p) of type $\Sigma(\text{Man}, \text{handsome})$.
 - ❖ Two handsome men are the same iff they are the same man
→ proof irrelevance (eg, proofs of $\text{handsome}(m)$ are the same).
- ❖ Counting (the same problem as above)
 - ❖ Most students who passed some exams are happy.
 - ❖ Most $z : [\Sigma x:\text{Student} \Sigma y:\text{Exam}.\text{pass}(x,y)]$. $\text{happy}(\pi_1(z))$
 - ❖ Incorrect counting that takes proofs of $\Sigma y:\text{Exam}.\text{pass}(x,y)$ into account
 - ❖ I believe proof irrelevance provides a clean/easier solution.
 - ❖ Most $z : [\Sigma x:\text{Student} \exists y:\text{Exam}.\text{pass}(x,y)]$. $\text{happy}(\pi_1(z))$
 - ❖ Correct counting by proof irrelevance (for the \exists -proposition)

Counting and Anaphora

- ❖ A problem when both are involved.
 - ❖ Thanks to Justyna Grudzińska for bringing this example to my attention.
- ❖ Most farmers who own a donkey beat it.
 - ❖ (#) Most $z : [\Sigma x:F \Sigma y:D. \text{own}(x,y)]$. $\text{beat}(\pi_1(z), \pi_1(\pi_2(z)))$
 - ❖ Incorrect counting as proofs in Σ are taken into account.
 - ❖ Note that, if you use traditional \exists for both Σ to get correct counting, anaphora are problems (untyped – π_i don't exist)!
- ❖ A problem not solved satisfactorily before
 - ❖ Sundholm (1989) realised it, but only proposed an ad hoc solution.
 - ❖ Tanaka (2015) studied a similar solution (ad hoc & complicated).

❖ A solution in UTT (or MLTT_h), using both Σ and \exists :

❖ Most $z : [\Sigma x:F \exists y:D. \text{own}(x,y)]$.

$\forall z' : [\Sigma y':D. \text{own}(\pi_1(z), y')] . \text{beat}(\pi_1(z), \pi_1(z'))$

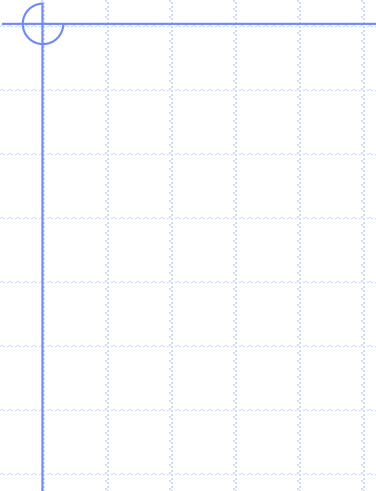
❖ Correct counting because of proof irrelevance (for \exists -prop).

❖ Correct anaphoric reference because of Σ .

❖ Details in my LACompLing18 paper (in press)

❖ Title: "Proof Irrelevance in Type-Theoretical Semantics"

❖ First (?) to do this in a "standard" logical system, rather than non-standard ones such as the non-monotonic Dynamic Predicate Logic.



Judgemental Interpretation

- ❖ Judgements v.s. propositions

- ❖ Example

- ❖ John is a student.
- ❖ $j : \text{Student}$ (Here, Student is a type, not a predicate.)

- ❖ What about

- ❖ John is not a student.
- ❖ If John is a student, he is happy.

- ❖ The following are wrong:

- ❖ $(\#) \neg(j : \text{Student})$ -- illegitimate
- ❖ $(\#) (j : \text{Student})$ is not the case. -- a meta-level assertion

❖ So, we introduce $IS(\text{Student},x) : \text{Prop}$

- ❖ $\neg IS(\text{Student},j)$
- ❖ $IS(\text{Student},j) \Rightarrow \text{happy}(j)$
- ❖ These are well-typed propositions.

❖ $IS_A(B,a)$

- ❖ Introduced axiomatically.
- ❖ Justified by heterogeneous equality in type theory.
- ❖ Xue, Luo and Chatzikyriakidis. Propositional Forms of Judgemental Interpretations. NLCS18, Oxford. 2018.